

Form: ACTGRA - Supplier Entity Disclosure Form

Form Id: 234

Form Entry Id:



Alabama-Coushatta Tribe of Texas Tribal Gaming Regulatory Authority

Supplier Entity Disclosure Form

Type of Supplier (Select One):

- Gaming Supplier Initial
- Gaming Supplier Renewal
- Gaming Related Supplier Initial
- Gaming Related Supplier Renewal
- Non-Gaming Supplier Initial
- Non-Gaming Supplier Renewal

NOTE: For purposes of this application, "Enterprise" shall be defined to include any corporation, association, operation, firm, partnership, trust or other form of business association, as well as a natural person.

Drop it here!

 Select your file

We accept .doc .docx .xlsx .xlsm .pdf .jpg .png .webp .txt .csv .bmp .ico .tiff .emf .wav .jpeg .exif .gif .tif .icon .wmf .bin .ps .rtf .ppt .pptx files.

Maximum upload size: 32 Mb.

Section A. Applicant General Information

Name of Enterprise (Do Not Abbreviate)

DBA or Trade Name(s)

Name as it appears on the certificate of incorporation, Charter, By-Laws, Partnership.

ADDRESS TYPE (SELECT ALL THAT APPLIES)

- Business Physical Address
- Business Mailing Address
- Enterprise is or will be Conducting Business From Address

Company Website

Phone Type (Select all that applies)

- Business Phone Number
- Phone Number of Location Enterprise is or will be doing Business

Section B. Authorized Representative Information

Representative authorized to provide information on Applicant's behalf.

Primary Contact Person to be Contacted in Reference to these forms:

Primary Contact Title:

Work Phone Number (Include Area Code)

CELLPHONE: (AREA CODE) NUMBER

USA _____
Country Extension

USA _____
Country Extension

Cell Phone Number (Include Area Code)

USA _____
Country Extension

EMAIL ADDRESS

BEST WAY TO CONTACT

EMAIL

- Work Phone Number
- Cell Phone Number

ITEM 1. PRIOR NAMES AND ADDRESSES OF THE ENTERPRISE

Has the Enterprise done business under other names in the last five years.

- Yes
- No

Has the Enterprise has done business at other addresses within the last five years.

- Yes
- No

ITEM 2. DESCRIPTION OF ENTERPRISE

Specify the business form of this Enterprise?

- Corporation
- Partnership
- Trust
- Joint Venture
- Sole Proprietorship
- Other
-
-
-
-
-
-

Please submit a copy of the certificate of incorporation, charter, by-laws, partnership agreement, trust agreement or other basic documentation of the Enterprise, if any.

UPLOAD IN UPLOADER ABOVE, This document must be labeled as ITEM 2B.

If the Enterprise is a publicly traded corporation, please indicate below on what exchange its stock is traded and under what symbol.

Select Which Applies to the Enterprise

- Enterprise's Federal Employer Identification Number

Social Security Number of the owner

Please state the type of goods or services being provided to the casino industry and/or the nature of business of your enterprise.

Describe business relationships with Indian tribes including ownership interest in those businesses.

ITEM 3. AGREEMENTS

If the Enterprise has entered into any written agreements with The Gaming Enterprise, indicate here the number of such written agreements, and provide copies.

Yes
 No

If the Enterprise has entered into any unwritten agreements or purchase order business with The Gaming Enterprise, describe below terms of each unwritten agreement, including in said description the expected duration and terms of compensation of each such agreement.

Yes
 No

Are or were any agreements between this Enterprise and The Gaming Enterprise in any way subject to or conditioned upon any other agreement between The Gaming Enterprise and either this Enterprise or any other Enterprise whatsoever?

Yes
 No

Are or were the agreements between this Enterprise and The Gaming Enterprise contingent upon other agreements between the Enterprise and its suppliers, vendors or subcontractors?

Yes
 No

Are any of the suppliers, vendors or of the Enterprise holders of any securities of the Enterprise or creditors as to any long or short-term debt of the Enterprise?

Yes
 No

Are any employees or tribal members employed as contributors, owners, or been promised ownership or compensation in anyway?

- Yes
- No

ITEM 4. GOVERNMENTAL REGULATION

Is the enterprise subject to regulation by a public agency in any jurisdiction?

- Yes
- No

During the last ten year period, has the Enterprise ever had any license or certificate issued by a government agency in any jurisdiction denied, suspended or revoked?

- Yes
- No

ITEM 5. GAMING APPLICATIONS


Provide the name and address of any licensing or regulatory agency with which the Enterprise or a Control Persons have filed an application for a license or permit relating to gaming, whether or not such license or permit was ever granted or has ever been subject to suspension, revocation or other sanction.

To rearrange the order of the entries, click and drag the green header

1	⌵
Name of Licensing Agency	
<hr/>	
Address of Licensing Agency	
<u>United States</u>	<u> </u>
<u>Country</u>	<u>Address</u> <u>City</u> <u>State</u> <u>Zip</u>
Date of Application	Type of Gaming Activity
<hr/>	<hr/>

Give Appropriate Number (If Issued)	Give Expiration Date (If Issued)
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Disposition (Granted, Denied, Pending, Etc.)



ITEM 6. FINANCIAL INFORMATION

If the Enterprise has audited financial statements prepared, **submit three (3) years** of the most recently prepared statements and auditors report. **Mark both the statement and report as ITEM 6A. Upload in uploader above.**

If the Enterprise **does not** normally have its financial statements audited, **Submit three (3) years** of the most recently prepared unaudited financial statement and **mark such statement as ITEM 6B. upload in uploader above.**

If the Enterprise has neither an audited or unaudited financial statement prepared, please note same below this paragraph.

Please **include three (3) years** of the **most recently filed federal and state tax returns**, in full, including all attachments, schedules and extension requests. **Mark each return and schedule as ITEM 6C. Upload in uploader above**

Has the Enterprise had any petition under any provision of the Federal Bankruptcy Act or under any State insolvency law filed by or against it in the last five-year period?

- Yes
 No

Has the Enterprise sought relief under any provision of the Federal Bankruptcy Act or under any State insolvency law in the last five-year period?

- Yes
 No

Has any receiver, fiscal agent, trustee, reorganization trustee or similar officer been appointed, in the last five year period, by a court for the business or property of the Enterprise?

- Yes
 No

ITEM 7. CRIMINAL HISTORY

Has the Enterprise been indicted, charged with or convicted of a criminal or disorderly persons offense or been a party to or named as an unindicted co-conspirator in any criminal proceeding in any jurisdiction?

- Yes
 No

ITEM 8. ANTITRUST TRADE REGULATION AND SECURITIES JUDGMENTS: STATUTORY AND REGULATORY VIOLATIONS

Has the Enterprise ever had a judgment, order, consent decree or consent order pertaining to a violation or alleged violation of the Federal Antitrust, Trade Regulation or securities laws, or similar laws of any state, province or county entered against it?

- Yes
 No

In the past ten years has the corporation had a judgment, order, consent decree or consent order pertaining to any state or federal statute, regulation or code that resulted in a fine of \$50,000 or more entered against it.

- Yes
 No

ITEM 9. CIVIL LAWSUIT

Have/had the been any civil lawsuits, excluding divorce or child custody proceedings, to which the Enterprise or a control person has been a defendant within the previous ten years

- Yes

No

ITEM 10. CONTROL PERSON IDENTIFICATION

- A. All control persons including the chief executive officer, chief operating officer and any person with responsibility and authority to manage the contract on behalf of the Enterprise with respect to the goods and services being provided.
- B. The management employee supervising the regional or local office which employs the sales representative(s).
- C. All directors or trustees of the Enterprise.
- D. All partners, whether general, limited or otherwise.
- E. The sole proprietor, if the enterprise is a sole proprietorship.
- F. Any person who has the power to direct or cause direction of management and policies of the business operations, including all persons who own shares of any corporation that is not a publicly traded corporation and such person owns, controls, or holds the power to vote 5% or more of the voting securities of the corporation.
- G. Include all Trusts and Holding Companies owning 5% or more.

To rearrange the order of the entries, click and drag the green header

1



Name

 First Name Middle Name Last Name

Home Address

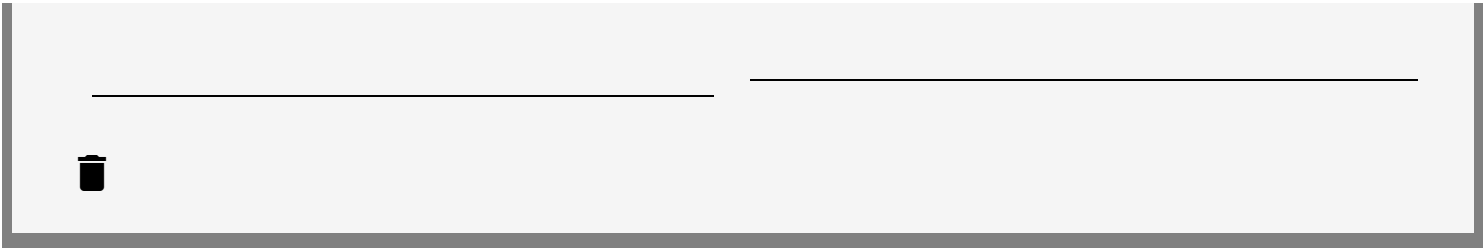
 United States

 Country Home Address City State Zip

Title, Position, or Associated with the Enterprise

DATE OF BIRTH

% OF OWNERSHIP



ITEM 11. AFFIDAVITS AND SIGNATURES

This form must be sworn to or affirmed, signed and dated before a person legally competent to take an oath or affirmation who shall himself date the signature of the affiant and indicate the basis of his authority to take oaths and affirmations.

Each of the following persons must complete an affidavit attesting to the truth of the information in this form:

- A. If the Enterprise is a corporation, the president or any officer so authorized to affirm;
- B. If the enterprise is a partnership, each of the partners; if a limited partnership, only by each of the general partners;
- C. If the enterprise is any other business form, organization or association, the president, Member or so authorized to affirm;
- D. If the Enterprise is a sole proprietorship, the natural person who is the proprietor.

Each required affidavit must be identical to the model that appears attached as a separate form to this application.

I

NAME

hereby acknowledge that I am aware that the Alabama-Coushatta Tribal Gaming Regulatory Authority may deny a license to any applicant which supplies information to the Alabama-Coushatta Tribal Gaming Regulatory Authority which is untrue or misleading as to a material fact pertaining to the qualification criteria. Further I hereby swear (or affirm) that the foregoing statements made by me on behalf of

NAME OF ENTERPRISE

are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment, including sanctions by the TGA Tribal Ordinance.

Electronic Signature

TITLE OR POSITION

ITEM 12. RELEASE AUTHORIZATION NOTICE JURISDICTION NOTICE

Each enterprise must have the attached "Release Authorization" properly signed, dated and notarized. The attached "Notice" and "Acknowledgment of Jurisdiction" must also be acknowledged by a proper signature and date.

Each document must be signed by the following person:

- a. If the Enterprise is a corporation, the president or any officer so authorized to execute such a document and bind the corporation;
- b. if the Enterprise is a partnership, a partner,
- c. If the Enterprise is a limited partnership, a general partner;
- d. If the Enterprise is any other business form, organization or association, the President, Member, or Official so authorized to execute such a document and bind the enterprise;
- e. If the Enterprise is a sole proprietorship, the natural person who is the proprietor.

Each required authorization attached as a separate form to this application.



RELEASE AUTHORIZATION

To All Courts, Probation Departments, Selective Service Boards, Employers, Educational Institutions, Banks, Financial and

Other Such Institutions, and All Governmental Agencies — federal, state and local, without exception, both foreign and domestic.

On behalf of

NAME OF ENTERPRISE

I

Official

have authorized the Alabama-Coushatta Tribal Gaming Regulatory Authority (ACTGRA) to conduct a full investigator into the background of the said enterprise.

Therefore, you are hereby authorized to release any and all information pertaining to the said enterprise, documentary or otherwise, as requested by any employee or agent of ACTGRA, provided he or she certifies to you that said enterprise has an application pending before the ACTGRA or that said enterprise is presently a licensee or registrant required to be qualified under the provisions of the Alabama-Coushatta Tribe of Texas Gaming Ordinance.

This authorization shall supersede and countermand any prior request or authorization to the contrary.

A photo static copy of this authorization will be considered as effective and valid as the original.

Electronic Signature

Date

NOTICE

1. Information supplied to the Alabama-Coushatta Tribal Gaming Regulatory Authority or otherwise obtained by the Alabama-Coushatta Tribal Gaming Regulatory Authority is confidential and shall not be revealed except in the course of the necessary administration of the Alabama-Coushatta Tribe of Texas Gaming Ordinance .
2. An applicant for or holder of a license under the Alabama-Coushatta Tribe of Texas Gaming Ordinance is to inspections, searches and seizures as authorized by the Alabama-Coushatta Tribe of Texas Gaming Ordinance and by the Regulations of the Alabama-Coushatta Tribe of Texas Tribal Gaming Regulatory Authority.
3. The filing fee which must accompany the submission of a supplier license is not refundable once the application is accepted for filing.

Receipt of Notice Acknowledged on Behalf of:

On:

(DATE)

ELECTRONIC SIGNATURE

PRINTED NAME

TITLE OR POSITION

ACKNOWLEDGEMENT OF JURISDICTION

On behalf of

I

NAME

hereby acknowledge that

consents to the personal jurisdiction of the Alabama-Coushatta Tribe of Texas Gaming Regulatory Authority and I hereby waive all available defenses against such jurisdiction.

Electronic Signature

Date

Rev. 8/7/25
